

**DANH MỤC CỔ PHIẾU KÝ QUỸ (MARGIN)**

Ngày hiệu lực: 04/09/2018

| STT | Mã chứng khoán | Tỷ lệ cho vay | Sàn |
|-----|----------------|---------------|-----|
| 1   | AAA            | 50%           | HSX |
| 2   | ABT            | 50%           | HSX |
| 3   | ACC            | 40%           | HSX |
| 4   | ACL            | 30%           | HSX |
| 5   | ADS            | 45%           | HSX |
| 6   | AGM            | 30%           | HSX |
| 7   | AMD            | 40%           | HSX |
| 8   | ANV            | 40%           | HSX |
| 9   | APG            | 30%           | HSX |
| 10  | ASM            | 40%           | HSX |
| 11  | ASP            | 35%           | HSX |
| 12  | BBC            | 40%           | HSX |
| 13  | BCE            | 40%           | HSX |
| 14  | BCG            | 35%           | HSX |
| 15  | BFC            | 50%           | HSX |
| 16  | BIC            | 45%           | HSX |
| 17  | BID            | 50%           | HSX |
| 18  | BMC            | 35%           | HSX |
| 19  | BMI            | 40%           | HSX |
| 20  | BMP            | 50%           | HSX |
| 21  | BRC            | 35%           | HSX |
| 22  | BSI            | 50%           | HSX |
| 23  | BTT            | 40%           | HSX |
| 24  | BVH            | 50%           | HSX |
| 25  | BWE            | 30%           | HSX |
| 26  | C32            | 50%           | HSX |
| 27  | C47            | 35%           | HSX |
| 28  | CAV            | 45%           | HSX |
| 29  | CCI            | 30%           | HSX |
| 30  | CCL            | 40%           | HSX |
| 31  | CDC            | 30%           | HSX |
| 32  | CEE            | 30%           | HSX |
| 33  | CII            | 50%           | HSX |
| 34  | CLC            | 40%           | HSX |
| 35  | CLG            | 40%           | HSX |
| 36  | CLL            | 50%           | HSX |
| 37  | CMG            | 40%           | HSX |
| 38  | CMT            | 40%           | HSX |

|    |     |     |     |
|----|-----|-----|-----|
| 39 | CMV | 30% | HSX |
| 40 | CNG | 50% | HSX |
| 41 | COM | 40% | HSX |
| 42 | CSM | 50% | HSX |
| 43 | CSV | 50% | HSX |
| 44 | CTD | 40% | HSX |
| 45 | CTF | 30% | HSX |
| 46 | CTG | 50% | HSX |
| 47 | CTI | 40% | HSX |
| 48 | CTS | 50% | HSX |
| 49 | CVT | 40% | HSX |
| 50 | D2D | 50% | HSX |
| 51 | DAG | 40% | HSX |
| 52 | DAT | 30% | HSX |
| 53 | DCL | 40% | HSX |
| 54 | DCM | 50% | HSX |
| 55 | DGW | 50% | HSX |
| 56 | DHA | 50% | HSX |
| 57 | DHC | 45% | HSX |
| 58 | DHG | 50% | HSX |
| 59 | DHM | 30% | HSX |
| 60 | DIC | 40% | HSX |
| 61 | DIG | 50% | HSX |
| 62 | DLG | 30% | HSX |
| 63 | DMC | 50% | HSX |
| 64 | DPM | 50% | HSX |
| 65 | DPR | 40% | HSX |
| 66 | DQC | 40% | HSX |
| 67 | DRC | 50% | HSX |
| 68 | DRH | 30% | HSX |
| 69 | DRL | 30% | HSX |
| 70 | DSN | 50% | HSX |
| 71 | DTL | 30% | HSX |
| 72 | DTT | 30% | HSX |
| 73 | DVP | 50% | HSX |
| 74 | DXG | 50% | HSX |
| 75 | ELC | 35% | HSX |
| 76 | EMC | 30% | HSX |
| 77 | EVE | 40% | HSX |
| 78 | EVG | 50% | HSX |
| 79 | FCM | 40% | HSX |
| 80 | FCN | 50% | HSX |
| 81 | FIT | 50% | HSX |
| 82 | FLC | 50% | HSX |
| 83 | FMC | 40% | HSX |
| 84 | FPT | 50% | HSX |

|     |     |     |     |
|-----|-----|-----|-----|
| 85  | FTM | 30% | HSX |
| 86  | FTS | 50% | HSX |
| 87  | GAS | 50% | HSX |
| 88  | GDT | 40% | HSX |
| 89  | GEX | 50% | HSX |
| 90  | GMC | 50% | HSX |
| 91  | GMD | 50% | HSX |
| 92  | GSP | 40% | HSX |
| 93  | GTA | 35% | HSX |
| 94  | GTN | 45% | HSX |
| 95  | HAH | 50% | HSX |
| 96  | HAI | 40% | HSX |
| 97  | HAP | 40% | HSX |
| 98  | HAR | 40% | HSX |
| 99  | HAX | 35% | HSX |
| 100 | HBC | 50% | HSX |
| 101 | HCD | 50% | HSX |
| 102 | HCM | 50% | HSX |
| 103 | HDB | 50% | HSX |
| 104 | HDC | 40% | HSX |
| 105 | HHS | 50% | HSX |
| 106 | HII | 50% | HSX |
| 107 | HMC | 30% | HSX |
| 108 | HNG | 50% | HSX |
| 109 | HOT | 30% | HSX |
| 110 | HPG | 50% | HSX |
| 111 | HQC | 50% | HSX |
| 112 | HRC | 35% | HSX |
| 113 | HSG | 50% | HSX |
| 114 | HT1 | 40% | HSX |
| 115 | HTI | 40% | HSX |
| 116 | HTL | 40% | HSX |
| 117 | HTV | 45% | HSX |
| 118 | HU1 | 50% | HSX |
| 119 | HU3 | 50% | HSX |
| 120 | IBC | 30% | HSX |
| 121 | IDI | 40% | HSX |
| 122 | IJC | 50% | HSX |
| 123 | IMP | 50% | HSX |
| 124 | ITA | 50% | HSX |
| 125 | ITD | 40% | HSX |
| 126 | KBC | 50% | HSX |
| 127 | KDH | 40% | HSX |
| 128 | KMR | 50% | HSX |
| 129 | KSB | 45% | HSX |
| 130 | KSH | 50% | HSX |

|     |     |     |     |
|-----|-----|-----|-----|
| 131 | L10 | 40% | HSX |
| 132 | LBM | 40% | HSX |
| 133 | LCG | 45% | HSX |
| 134 | LDG | 50% | HSX |
| 135 | LEC | 50% | HSX |
| 136 | LGC | 40% | HSX |
| 137 | LGL | 40% | HSX |
| 138 | LHG | 45% | HSX |
| 139 | LIX | 40% | HSX |
| 140 | LM8 | 40% | HSX |
| 141 | LSS | 50% | HSX |
| 142 | MBB | 50% | HSX |
| 143 | MCG | 50% | HSX |
| 144 | MCP | 40% | HSX |
| 145 | MDG | 35% | HSX |
| 146 | MHC | 40% | HSX |
| 147 | MSN | 50% | HSX |
| 148 | MWG | 50% | HSX |
| 149 | NAF | 40% | HSX |
| 150 | NBB | 40% | HSX |
| 151 | NCT | 50% | HSX |
| 152 | NKG | 50% | HSX |
| 153 | NLG | 50% | HSX |
| 154 | NNC | 50% | HSX |
| 155 | NSC | 50% | HSX |
| 156 | NT2 | 50% | HSX |
| 157 | NTL | 50% | HSX |
| 158 | NVL | 50% | HSX |
| 159 | OPC | 50% | HSX |
| 160 | PAC | 50% | HSX |
| 161 | PAN | 50% | HSX |
| 162 | PC1 | 45% | HSX |
| 163 | PDN | 45% | HSX |
| 164 | PDR | 40% | HSX |
| 165 | PET | 50% | HSX |
| 166 | PGC | 50% | HSX |
| 167 | PGD | 50% | HSX |
| 168 | PGI | 45% | HSX |
| 169 | PHR | 50% | HSX |
| 170 | PJT | 40% | HSX |
| 171 | PLX | 50% | HSX |
| 172 | PME | 50% | HSX |
| 173 | PNJ | 50% | HSX |
| 174 | POM | 50% | HSX |
| 175 | PPC | 50% | HSX |
| 176 | PTB | 45% | HSX |

|     |     |     |     |
|-----|-----|-----|-----|
| 177 | PVT | 50% | HSX |
| 178 | QBS | 45% | HSX |
| 179 | QCG | 50% | HSX |
| 180 | RAL | 50% | HSX |
| 181 | REE | 50% | HSX |
| 182 | ROS | 25% | HSX |
| 183 | S4A | 50% | HSX |
| 184 | SAB | 50% | HSX |
| 185 | SAM | 50% | HSX |
| 186 | SBA | 40% | HSX |
| 187 | SBT | 40% | HSX |
| 188 | SBV | 50% | HSX |
| 189 | SC5 | 40% | HSX |
| 190 | SCR | 50% | HSX |
| 191 | SFG | 45% | HSX |
| 192 | SFI | 40% | HSX |
| 193 | SHA | 40% | HSX |
| 194 | SHI | 40% | HSX |
| 195 | SHP | 35% | HSX |
| 196 | SJD | 40% | HSX |
| 197 | SJF | 50% | HSX |
| 198 | SJS | 40% | HSX |
| 199 | SMA | 30% | HSX |
| 200 | SMC | 40% | HSX |
| 201 | SPM | 30% | HSX |
| 202 | SRC | 45% | HSX |
| 203 | SSC | 35% | HSX |
| 204 | SSI | 50% | HSX |
| 205 | ST8 | 30% | HSX |
| 206 | STB | 50% | HSX |
| 207 | STG | 35% | HSX |
| 208 | STK | 30% | HSX |
| 209 | SVC | 40% | HSX |
| 210 | SVI | 35% | HSX |
| 211 | SVT | 30% | HSX |
| 212 | SZL | 45% | HSX |
| 213 | TAC | 40% | HSX |
| 214 | TBC | 30% | HSX |
| 215 | TCD | 30% | HSX |
| 216 | TCH | 50% | HSX |
| 217 | TCL | 50% | HSX |
| 218 | TCM | 50% | HSX |
| 219 | TCO | 30% | HSX |
| 220 | TCT | 45% | HSX |
| 221 | TDG | 50% | HSX |
| 222 | TDH | 50% | HSX |

|     |     |     |     |
|-----|-----|-----|-----|
| 223 | TDW | 35% | HSX |
| 224 | TEG | 40% | HSX |
| 225 | THG | 45% | HSX |
| 226 | THI | 50% | HSX |
| 227 | TIP | 40% | HSX |
| 228 | TIX | 35% | HSX |
| 229 | TLD | 30% | HSX |
| 230 | TLG | 45% | HSX |
| 231 | TLH | 45% | HSX |
| 232 | TMP | 40% | HSX |
| 233 | TMS | 40% | HSX |
| 234 | TNA | 45% | HSX |
| 235 | TNC | 40% | HSX |
| 236 | TNI | 30% | HSX |
| 237 | TPC | 35% | HSX |
| 238 | TRA | 50% | HSX |
| 239 | TRC | 40% | HSX |
| 240 | TTB | 40% | HSX |
| 241 | TVS | 50% | HSX |
| 242 | TYA | 30% | HSX |
| 243 | UIC | 45% | HSX |
| 244 | VAF | 35% | HSX |
| 245 | VCB | 50% | HSX |
| 246 | VCF | 40% | HSX |
| 247 | VCI | 50% | HSX |
| 248 | VDS | 50% | HSX |
| 249 | VFG | 45% | HSX |
| 250 | VHC | 50% | HSX |
| 251 | VIC | 50% | HSX |
| 252 | VID | 50% | HSX |
| 253 | VIP | 50% | HSX |
| 254 | VJC | 50% | HSX |
| 255 | VMD | 45% | HSX |
| 256 | VND | 50% | HSX |
| 257 | VNE | 50% | HSX |
| 258 | VNG | 35% | HSX |
| 259 | VNL | 50% | HSX |
| 260 | VNM | 50% | HSX |
| 261 | VNS | 45% | HSX |
| 262 | VPB | 50% | HSX |
| 263 | VPG | 20% | HSX |
| 264 | VPH | 45% | HSX |
| 265 | VPI | 30% | HSX |
| 266 | VPS | 45% | HSX |
| 267 | VRC | 40% | HSX |
| 268 | VRE | 50% | HSX |

|     |     |     |     |
|-----|-----|-----|-----|
| 269 | VSC | 50% | HSX |
| 270 | VSH | 50% | HSX |
| 271 | VSI | 35% | HSX |
| 272 | VTB | 40% | HSX |
| 273 | VTO | 45% | HSX |
| 274 | ACB | 50% | HNX |
| 275 | ADC | 30% | HNX |
| 276 | ALT | 30% | HNX |
| 277 | AME | 30% | HNX |
| 278 | ARM | 30% | HNX |
| 279 | ATS | 30% | HNX |
| 280 | BAX | 50% | HNX |
| 281 | BBS | 30% | HNX |
| 282 | BDB | 20% | HNX |
| 283 | BPC | 50% | HNX |
| 284 | BSC | 25% | HNX |
| 285 | BST | 20% | HNX |
| 286 | BTS | 40% | HNX |
| 287 | BVS | 50% | HNX |
| 288 | BXH | 25% | HNX |
| 289 | C69 | 50% | HNX |
| 290 | C92 | 25% | HNX |
| 291 | CAP | 40% | HNX |
| 292 | CDN | 40% | HNX |
| 293 | CEO | 50% | HNX |
| 294 | CIA | 30% | HNX |
| 295 | CLH | 40% | HNX |
| 296 | CLM | 30% | HNX |
| 297 | CMS | 40% | HNX |
| 298 | CPC | 40% | HNX |
| 299 | CSC | 50% | HNX |
| 300 | CTB | 20% | HNX |
| 301 | CTT | 50% | HNX |
| 302 | CX8 | 20% | HNX |
| 303 | D11 | 30% | HNX |
| 304 | DAD | 50% | HNX |
| 305 | DAE | 40% | HNX |
| 306 | DBT | 40% | HNX |
| 307 | DC2 | 30% | HNX |
| 308 | DC4 | 30% | HNX |
| 309 | DGC | 50% | HNX |
| 310 | DGL | 40% | HNX |
| 311 | DHP | 40% | HNX |
| 312 | DHT | 45% | HNX |
| 313 | DID | 30% | HNX |
| 314 | DL1 | 30% | HNX |

|     |     |     |     |
|-----|-----|-----|-----|
| 315 | DNC | 40% | HNX |
| 316 | DNP | 35% | HNX |
| 317 | DPC | 30% | HNX |
| 318 | DS3 | 20% | HNX |
| 319 | DXP | 40% | HNX |
| 320 | EBS | 40% | HNX |
| 321 | ECI | 30% | HNX |
| 322 | GLT | 50% | HNX |
| 323 | GMX | 30% | HNX |
| 324 | HAD | 40% | HNX |
| 325 | HBS | 30% | HNX |
| 326 | HCC | 30% | HNX |
| 327 | HCT | 30% | HNX |
| 328 | HDA | 50% | HNX |
| 329 | HEV | 30% | HNX |
| 330 | HHC | 50% | HNX |
| 331 | HHG | 30% | HNX |
| 332 | HJS | 40% | HNX |
| 333 | HLC | 30% | HNX |
| 334 | HLD | 45% | HNX |
| 335 | HMH | 50% | HNX |
| 336 | HOM | 40% | HNX |
| 337 | HST | 30% | HNX |
| 338 | HTC | 35% | HNX |
| 339 | HTP | 40% | HNX |
| 340 | HUT | 50% | HNX |
| 341 | HVA | 50% | HNX |
| 342 | IDV | 50% | HNX |
| 343 | INC | 30% | HNX |
| 344 | INN | 40% | HNX |
| 345 | ITQ | 35% | HNX |
| 346 | IVS | 40% | HNX |
| 347 | KKC | 45% | HNX |
| 348 | KLF | 50% | HNX |
| 349 | KMT | 35% | HNX |
| 350 | KST | 40% | HNX |
| 351 | KTS | 40% | HNX |
| 352 | KTT | 40% | HNX |
| 353 | KVC | 35% | HNX |
| 354 | L14 | 40% | HNX |
| 355 | L18 | 40% | HNX |
| 356 | L35 | 35% | HNX |
| 357 | L43 | 35% | HNX |
| 358 | L61 | 35% | HNX |
| 359 | L62 | 35% | HNX |
| 360 | LAS | 50% | HNX |



|     |     |     |     |
|-----|-----|-----|-----|
| 361 | LBE | 30% | HNX |
| 362 | LCD | 30% | HNX |
| 363 | LHC | 45% | HNX |
| 364 | LIG | 35% | HNX |
| 365 | LO5 | 30% | HNX |
| 366 | MAC | 40% | HNX |
| 367 | MAS | 50% | HNX |
| 368 | MBG | 50% | HNX |
| 369 | MBS | 50% | HNX |
| 370 | MCC | 35% | HNX |
| 371 | MCF | 40% | HNX |
| 372 | MCO | 30% | HNX |
| 373 | MDC | 35% | HNX |
| 374 | MEC | 50% | HNX |
| 375 | MHL | 35% | HNX |
| 376 | MST | 35% | HNX |
| 377 | NAG | 40% | HNX |
| 378 | NBC | 35% | HNX |
| 379 | NBP | 30% | HNX |
| 380 | NDN | 50% | HNX |
| 381 | NDX | 50% | HNX |
| 382 | NET | 50% | HNX |
| 383 | NFC | 40% | HNX |
| 384 | NHA | 50% | HNX |
| 385 | NHC | 35% | HNX |
| 386 | NHP | 50% | HNX |
| 387 | NSH | 20% | HNX |
| 388 | NTP | 50% | HNX |
| 389 | NVB | 50% | HNX |
| 390 | ONE | 50% | HNX |
| 391 | PBP | 50% | HNX |
| 392 | PCE | 35% | HNX |
| 393 | PDB | 40% | HNX |
| 394 | PGS | 50% | HNX |
| 395 | PHC | 45% | HNX |
| 396 | PIC | 50% | HNX |
| 397 | PJC | 40% | HNX |
| 398 | PLC | 50% | HNX |
| 399 | PMB | 35% | HNX |
| 400 | PMC | 50% | HNX |
| 401 | PMP | 30% | HNX |
| 402 | PMS | 35% | HNX |
| 403 | POT | 30% | HNX |
| 404 | PPP | 30% | HNX |
| 405 | PPS | 30% | HNX |
| 406 | PPY | 30% | HNX |

|     |     |     |     |
|-----|-----|-----|-----|
| 407 | PSC | 30% | HNX |
| 408 | PSD | 35% | HNX |
| 409 | PSE | 30% | HNX |
| 410 | PSW | 30% | HNX |
| 411 | PTI | 40% | HNX |
| 412 | PTS | 40% | HNX |
| 413 | PVB | 50% | HNX |
| 414 | PVG | 50% | HNX |
| 415 | PVI | 50% | HNX |
| 416 | PVS | 50% | HNX |
| 417 | QTC | 35% | HNX |
| 418 | RCL | 45% | HNX |
| 419 | S55 | 35% | HNX |
| 420 | SAF | 40% | HNX |
| 421 | SCI | 30% | HNX |
| 422 | SD4 | 40% | HNX |
| 423 | SD5 | 40% | HNX |
| 424 | SD6 | 35% | HNX |
| 425 | SD9 | 45% | HNX |
| 426 | SDC | 30% | HNX |
| 427 | SDG | 35% | HNX |
| 428 | SDN | 35% | HNX |
| 429 | SDT | 45% | HNX |
| 430 | SEB | 35% | HNX |
| 431 | SED | 50% | HNX |
| 432 | SFN | 30% | HNX |
| 433 | SGC | 30% | HNX |
| 434 | SGD | 30% | HNX |
| 435 | SGH | 30% | HNX |
| 436 | SHB | 50% | HNX |
| 437 | SHN | 40% | HNX |
| 438 | SHS | 50% | HNX |
| 439 | SJ1 | 30% | HNX |
| 440 | SJE | 50% | HNX |
| 441 | SLS | 30% | HNX |
| 442 | SMN | 30% | HNX |
| 443 | SMT | 30% | HNX |
| 444 | SPP | 35% | HNX |
| 445 | STC | 30% | HNX |
| 446 | TA9 | 35% | HNX |
| 447 | TBX | 30% | HNX |
| 448 | TC6 | 35% | HNX |
| 449 | TCS | 30% | HNX |
| 450 | TDN | 30% | HNX |
| 451 | TET | 50% | HNX |
| 452 | THB | 30% | HNX |

|     |     |     |     |
|-----|-----|-----|-----|
| 453 | THS | 30% | HNX |
| 454 | THT | 30% | HNX |
| 455 | TIG | 35% | HNX |
| 456 | TJC | 30% | HNX |
| 457 | TKU | 35% | HNX |
| 458 | TMC | 35% | HNX |
| 459 | TMX | 30% | HNX |
| 460 | TNG | 45% | HNX |
| 461 | TSB | 35% | HNX |
| 462 | TTC | 35% | HNX |
| 463 | TTH | 40% | HNX |
| 464 | TTT | 50% | HNX |
| 465 | TV2 | 45% | HNX |
| 466 | TV3 | 35% | HNX |
| 467 | TV4 | 35% | HNX |
| 468 | TVC | 35% | HNX |
| 469 | TVD | 30% | HNX |
| 470 | TXM | 30% | HNX |
| 471 | V12 | 30% | HNX |
| 472 | VAT | 50% | HNX |
| 473 | VBC | 40% | HNX |
| 474 | VC1 | 35% | HNX |
| 475 | VC3 | 40% | HNX |
| 476 | VC6 | 30% | HNX |
| 477 | VC7 | 35% | HNX |
| 478 | VC9 | 35% | HNX |
| 479 | VCC | 35% | HNX |
| 480 | VCG | 50% | HNX |
| 481 | VCM | 35% | HNX |
| 482 | VCS | 45% | HNX |
| 483 | VDL | 35% | HNX |
| 484 | VE3 | 30% | HNX |
| 485 | VE4 | 30% | HNX |
| 486 | VGC | 50% | HNX |
| 487 | VGS | 50% | HNX |
| 488 | VHL | 45% | HNX |
| 489 | VIT | 45% | HNX |
| 490 | VIX | 45% | HNX |
| 491 | VLA | 35% | HNX |
| 492 | VMC | 35% | HNX |
| 493 | VMS | 35% | HNX |
| 494 | VNC | 45% | HNX |
| 495 | VNR | 50% | HNX |
| 496 | VNT | 40% | HNX |
| 497 | VSA | 45% | HNX |
| 498 | VTC | 50% | HNX |

|     |     |     |     |
|-----|-----|-----|-----|
| 499 | VTV | 45% | HNX |
| 500 | VXB | 30% | HNX |
| 501 | WCS | 40% | HNX |
| 502 | WSS | 40% | HNX |

**QUY ĐỊNH VỀ GIAO DỊCH KÝ QUỸ**

Ngày áp dụng: Từ 03/11/2017

| STT                                   | Chỉ tiêu  | Quy định tại HFT                            |
|---------------------------------------|---|---|
| <b>Khoản vay và lãi suất</b>          |   |   |
| 1                                     | Thời hạn khoản vay                              | Tối đa 90 ngày                              |
| 2                                     | Gia hạn khoản vay                               | Tối đa 01 lần                               |
| 3                                     | Lãi suất cho vay                                | 9%/năm hay 0.025%/ngày                      |
| <b>Tài sản đảm bảo</b>                |   |   |
| 4                                     | Danh mục tài sản đảm bảo                        | Tiền mặt, Tiền bán chờ về                   |
|                                       |   | Chứng khoán ký quỹ trên tài khoản và chờ về |
| 5                                     | Giá trị định giá quyền, cổ tức, cổ phiếu thường | Bằng 0                                      |
| <b>Các tỷ lệ quản trị rủi ro</b>      |   |   |
| 6                                     | Tỷ lệ ký quỹ ban đầu                            | Tối thiểu 50%                               |
| 7                                     | Tỷ lệ ký quỹ duy trì                            | 40%   |
| 8                                     | Tỷ lệ cảnh báo                                  | 35%   |
| 9                                     | Tỷ lệ giải chấp                                 | 30%   |
| <b>Rút tiền trên tài khoản ký quỹ</b> |   |   |
| 10                                    | Tỷ lệ ký quỹ khi rút tiền                       | Đảm bảo tỷ lệ ký quỹ ban đầu                |

**TỔNG GIÁM ĐỐC**  
CÔNG TY  
CỔ PHẦN  
CHỨNG KHOÁN  
**HFT**  
Đ. THANH XUÂN - TP. HÀ NỘI



**Hoàng Như Hải**