

## FEES OF SECURITIES SERVICES AT PINETREE

PINETREE Securities Corporation (PINETREE) is pleased to inform you that the Pinetree securities service fee schedule applied from December 05, 2019 is as follows:

### I. SECURITIES TRADING

No.	TYPE OF SERVICE	FEE
1	Trading fee rate for shares / fund certificates / covered warrants	0.03%
2	Account opening / closing fee	Free

### II. DEPOSITORY

No.	TYPE OF SERVICE	FEE
1	Securities depository	0.5 VND/1 share, fund certificate, covered warrant/30 days
2	Transfer securities for payment of SELL securities transactions	Free
3	Transfer securities from PINETREE to other securities companies at the request of customers (applicable to all accounts)	0.5 VND/share, fund certificate, covered warrant Maximum 1,000,000 VND/1 time/1 stock code
4	Receive securities transfer from other securities companies	Free
5	Transfer of ownership not through the trading system of the Stock Exchange	
5.1	Founding shareholders are in the time of transfer restriction in accordance with law	0.2% of the value of transfer transactions for stocks, fund certificates, covered warrant
5.2	Transfer of listed securities/registered transactions approved by the State Securities Commission	
5.3	Transfer of securities ownership of public companies registered at VSD but not yet, no listing or transaction registration on Stock Exchanges	

5.4	Transfer of securities ownership due to division, separation, merger, consolidation, capital contribution by shares to establish enterprises under the provisions of the Civil Law, Enterprise Law and Securities Law	0.03% of the value of ownership transfer for stocks
5.5	Transfer of securities ownership (applicable to both transferor and transferee) in cases of giving, giving, inheriting between spouses, between fathers and mothers and children and adopted fathers , the adoptive mother with adopted children, between the father-in-law, the mother-in-law and the daughter-in-law, between the father-in-law, the mother-in-law and the son-in-law, between grandfather and grandmother with grandchildren, grandfather, grandmother with grandchildren, between brother and sister intestine together.	0.2% of transaction value
5.6	Transfer of ownership due to the implementation of a public bid (Including VSD fee and excluding information disclosure fee)	0.15% / transfer value for stocks
5.7	Transfer of ownership due to auction of state capital in joint stock companies	0.15% of the ownership transfer value for stocks
5.8	Transfer of securities ownership in exchange transactions of ETF certificates	0.1% of component securities value is exchanged at par value of ETF
6	Stock withdrawal	1 VND/share, fund certificate Max: 1,000,000 VND/1 time/1 stock code
7	Blockage and clearance (At PINETREE and VSD) and management of mortgage securities	Charges apply for each time when the blockade arises (no fee is charged for liquidated securities): 0.4% of transaction value (according to par value) Min: 500,000 VND/time. Max: 5,000,000 VND/time.
8	Transfer of additional subscription rights	- Same company: 50,000 VND /file - Other companies: 100,000 VND /file

### III. MONEY TRANSACTIONS

NO.	CONTENT	FEE
1	Cash transactions: Deposit money into the Stock Trading Account at PINETREE	According to banks' regulations
2	Domestic account transfer	According to banks' regulations

### IV. FINANCIAL SERVICES

- Cash advance fee for selling securities: 0.025%/day (9%/year).
- Deposit interest rate: 0.025%/day (9%/year).