

## THE 2020 ANNUAL GENERAL MEETING AGENDA

Time	Contents of the meeting
8:30 ÷ 9:00	<p><b>Welcome guests and shareholder’s registration:</b></p> <ul style="list-style-type: none"> <li>○ Welcome guests and shareholder’s registration;</li> <li>○ Sending document to shareholders.</li> </ul>
9:00 ÷ 9:30	<p><b>Meeting opening:</b></p> <ul style="list-style-type: none"> <li>○ Opening ceremony and introducing delegates;</li> <li>○ Report on shareholder’s verification;</li> <li>○ Introducing Chairman and Secretary;</li> <li>○ Approval on the voting committee;</li> <li>○ Approval on Meeting agenda and “The Regulation on organization, Vote and Election for the 2020 AGM”.</li> </ul>
9:30 ÷ 10:30	<p><b>Presentation:</b></p> <ol style="list-style-type: none"> <li>1. Report of the Board of Directors;</li> <li>2. Report of the General Director;</li> <li>3. Report of the Board of Supervisor;</li> <li>4. Proposal of the Board of Directors to approve about some necessary content of Company;</li> <li>5. Proposal of the Board of Directors to approve about increasing capital plan;</li> <li>6. Proposal of the Board of Directors to approve about dismissal and additional election of members of BOD for the term of 2019-2021;</li> <li>7. Other contents (if any) based on regulation at the charter of company and law.</li> </ol>
10:30 ÷ 10:45	<b>Discussion and voting on the presented issues</b>
10:45 ÷ 11:00	<b>Tea break</b>
11:00 ÷ 11:30	<p><b>Meeting closing</b></p> <ul style="list-style-type: none"> <li>○ Declaration of voting results;</li> <li>○ Approval on the Meeting Minutes and Resolutions;</li> <li>○ Closing;</li> </ul>



LETTER OF AUTHORIZATION FOR ATTENDING, VOTING, ELECTING
AT THE 2020 ANNUAL GENERAL MEETING OF SHAREHOLDERS

To: PINETREE SECURITIES CORPORATION

Name of shareholders: .....

ID/Passport/ the business registration certificate No:.....dated: .....by:.....

Legal representative (for organization) .....

ID No.....dated.....by.....

Address: .....Tel:.....Fax:.....

The number of owned shares (1):.....

I/We agree to authorize the below person for and on my/our behalf, and representing entire shares held by me/us, to attend, vote and elect in the 2020 Annual General Meeting of Shareholders.

Information of authorizee:

- Name: .....
ID/Passport No: ..... Dated:..... by: .....
Address: ..... tel: .....

This letter of authorization is only valid during the 2020 Annual General Meeting held on April 29, 2020 and will cease to be effective after the meeting ends. The authorized person is not authorized to the third person.

I/We are fully in charge about this authorization and commit strictly comply with the laws and regulations of Pinetree and commit to not give any complaint against the Company.

Authorizer
(Sign, full name and seal (if any))

Authorizee
(Sign, full name)

(1): Based on the list of shareholders as at the record date 30/03/2020

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM

Độc lập - Tự do - Hạnh phúc



**LETTER OF AUTHORIZATION FOR ATTENDING, VOTING, ELECTING  
AT THE 2020 ANNUAL GENERAL MEETING OF SHAREHOLDERS**

**To: PINETREE SECURITIES CORPORATION**

Today,        /        /2020, at ....., we are shareholders of Pinetree Securities Corporation as follow list:

No	Name	ID/ the business registration certificate No	The number of owned shares <sup>1</sup>	Address	Sign

Agreeing to authorize:

Mr/Ms: .....

ID No .....dated: .....by: .....

Address:.....

For and on our behalf, and representing entire shares held by us, to attend and vote in the 2020 Annual General Meeting of Shareholders.

This letter of authorization is only valid during the 2020 Annual General Meeting held on April 29, 2020 and will cease to be effective after the meeting ends. The authorized person is not authorized to the third person.

I/We are fully in charge about this authorization and commit strictly comply with the laws and regulations of PTSV and commit to not give any complaint against the Company.

**AUTHORIZEE**  
(Sign, full name)

<sup>1</sup> : Based on the list of shareholders as at the record date 30/03/2020

Hanoi, April 29, 2020

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**REGULATION ON ORGANIZATION, VOTE AND ELECTION  
THE 2020 ANNUAL 2020 AGM**

The Annual General Meeting of Shareholders in 2020 (“**2020 AGM**”) of Pinetree Securities Corporation (“**Pinetree**”) is conducted as follows regulations:

**I. PARTICIPATIONS:**

1. Shareholders attend the Meeting directly or authorize another person to attend the meeting and vote at the 2020 AGM. The authorization must follow the form of the written authorization made by the Company. The authorized person attending the 2020 AGM must present the original authorization document.
2. When a shareholder or an authorized person of a Shareholder (hereinafter referred collectively to as a Shareholder) enters the Meeting room, he / she must sit in the right position or area guided by the Meeting Organizing Committee; No smoking in Congress room; Do not use your mobile phone and do not ring your phone during the Meeting;
3. Shareholders have the right to attend, discuss and vote on issues in the agenda of the 2020 AGM.
4. Shareholders attend the 2020 AGM after the meeting opening must carry out the procedures for registration to attend the 2020 AGM with the Organizing Committee and have the right to vote immediately after completing the registration. The Chairman of the General Meeting is not responsible for stopping the 2020 AGM so that the Shareholder registers and the validity of the previous voting contents remains unchanged and is not affected.
5. Shareholders must strictly abide by this Regulation on Organization, Voting and Election of the 2020 AGM ("Organization Regulation"), respect the results of the 2020 AGM and the management of the Chairperson of the 2020 AGM, follow other instructions of the Organizing Committee to ensure the effectiveness of the 2020 AGM.

**II. CONDITIONS AND METHOD OF CONDUCTING THE MEETING:**

**1. Conditions:**

The 2020 AGM is held when the number of Shareholders attending the meeting represents at least 51% of the total voting shares of the Company.

**2. Method:**

- a. The 2020 AGM will be conducted in turn according to each content of the 2020 AGM Agenda.
- b. The discussion, voting and counting of votes were conducted after all reports and reports were presented at the 2020 AGM.

### III. VOTING AND ELECTION

#### 1. Principles:

- a. Shareholders vote directly in the meeting or send the voting form and voting ballot to the meeting in case of not attending directly.
- b. Only the valid Voting Paper and Election Card are valid for calculating the voting rate. The Voting Paper and Election Card are considered valid when they meet the following criteria:
  - Issued by the 2020 AGM's Organizing Committee or sent to Shareholders, must not be torn, erased or corrected the contents of the card.
  - Being filled in voting and election information by Shareholders in accordance with regulations. Shareholders are not allowed to fill in many boxes in the same voting content or leave no fields blank.
  - Be voted or sent to the Company by the Shareholders on time according to the this Regulations.
- c. For Shareholders voting directly at the Meeting: Depending on the voting content, Shareholders vote publicly by raising the Voting card /Voting Paper, Election card into the voting box.

Voting card/Voting Paper/Election card are printed, sealed and distributed to Shareholders at the 2020 AGM. Each shareholders or representative will receive only one Voting card and one Voting Paper and one Election card. The Voting Card has full name of the Shareholder, the Shareholders code and the number of votes of that Shareholder. On the Voting Paper, in addition to information such as Voting card, there are also voting contents according to the agenda of the 2020 AGM. The Election card contain shareholders code, the number of owned shares or represented, the number of voting right of BOD.

- d. For Shareholders who do not attend the 2020 AGM: Shareholders have the right to vote by sending Voting Paper, Election card with the original Invitation Letter. The Voting Paper and Election card are printed, stamped and sent to Shareholders with the Invitation Letter of 2020 AGM. Each Shareholder is granted one Voting Paper and one Election card.

#### 2. The method of voting directly at the Meeting:

##### a. The method of voting by the Voting card:

- The shareholders vote by the Voting card about follow contents:
  - ✓ Approving report on shareholder's verification;
  - ✓ Approving the Chairman, Secretary and Voting Committee;;
  - ✓ Approving the Meeting agenda and contents;
  - ✓ Approving the 2020 AGM Regulation on Organization, Vote and Election;
  - ✓ Approving the Meeting Minutes and Resolutions;
- The method of voting by the Voting card:
  - ✓ The shareholders vote (agree, disagree, no opinion) for each matters that requires opinions by raising their Voting card at the Meeting.
  - ✓ When voting at the Meeting, the shareholders *agree* by raising their Voting card. The members of

the voting committee will record the shareholders code and the number of votes corresponding with each approval shareholders. Similarly, under the management of Chairman, shareholders who *disagree* or have *no opinion* will raise the Voting card and be record again by the vote committee.

**b. The method of voting by the Voting Paper:**

- The shareholders vote by the Voting Paper about follow contents:

- ✓ Reports of vthe Board of Directors (“BOD”)
- ✓ Report of the General Director
- ✓ Reports of the Board of Supervisor (“BOS”)
- ✓ Proposal of the Board of Directors to approve about some necessary content of Company;
- ✓ Proposal of the Board of Directors to approve about increasing capital plan;
- ✓ Proposal of the Board of Directors to approve about dismissal and election of members of BOD for the term of 2019-2021;
- ✓ Other contents according to the agenda of the 2020 AGM.

- The method of voting:

- ✓ The shareholders and/or representative enter (X) on box Agree/Disagree/No opinion for those particular items; sign and full name at the Voting Paper.
- ✓ After completing, the shareholders put Voting Paper in the voting box.

**c. The method of voting by the Election card:**

- Shareholders vote to additional election of members of the BOD with the Election card. Information on the nominated/self-nominated candidates for the BOD are included in the Election card, the 2020 AGM documents and/or updated on the website of the Company.
- Shareholders fill in the candidate information and the corresponding number of votes for each candidate according to the election principles stated in this Regulation in the Election card, at the same time, sign and clearly state their full names in the Election card.
- After completing, Shareholders vote on the voting box.

**3. Method of voting of Shareholders who do not attend the Meeting:**

- Shareholders complete the (X), record information, sign and write their full names in the Voting Paper /Election card based on card sent by the Organizing Committee to Shareholders.
- Shareholders send the Voting Paper /Election card with the meeitn Invitation Letter to the Company in the form of registered mail. Time to receive the Voting Paper /Election card of Shareholders before **17h30 on 28/04/2020** based on following address:

*Organizing Committee of the 2020 AGM - Pinetree Securities Corporation - 20th Floor, TNR Building, 54A Nguyen Chi Thanh, Lang Thuong Ward, Dong Da District, Hanoi.*

*Phone: +84 24 6276 1818 (Ext: 699)*

**4. The voting and electing rules:**

- a. The number of votes of a Shareholder is calculated on the principle of 01 (one) common share corresponding to 01 (one) vote (except for additional election of members of the BOD as prescribed at Point b of this Section. ).

At the closing date of the list of shareholders (March 30, 2020), the total voting shares of the Company is 61,500,000 (*Sixty one million five hundred thousand*) shares, equivalent to 61,500,000 (*Six fifty one million five hundred thousand*) votes.

- b. The election of additional members of the BOD is implemented by the method of cumulative voting. Each Shareholder has the total number of votes corresponding to the total number of shares owned multiplied by the number of elected members of the BOD. Shareholders have the right to accumulate all or part of their total votes for one or several candidates. The elected member of the BOD is determined by the number of votes from high to low, starting from the candidate with the highest number of votes until a sufficient number of members are elected in the AGM.

**IV. NOMINATIONS CANDIDATES OF BOD:**

1. Nominees or candidates for members of the BOD must meet the conditions prescribed in the Charter and related legal documents.
2. Shareholders holding voting shares for at least six (06) consecutive months up to the time of closing the list of attending shareholders have the right to form a group to nominate.
3. Nominations must be made in writing. The nominated content must specify the name of the shareholder, the number of owner's shares, the name of the nominated person, the signature of the shareholder and the nominated person.
4. Dossiers for joining nominations and candidates for members of the BOD:
  - Nomination sheet (according to the form); or Nomination sheet by group (if the group of shareholders nominates);
  - Curriculum vitae (according to the form);
  - Copy of ID/Passport and qualifications, diplomas and certificates certifying the applicant's educational level and qualification.
5. Based on the Nominations sheet of Shareholders, Shareholder groups and the accompanying documents of the candidates, the 2020 AGM Organizing Committee will make a list of candidates who meet the prescribed conditions and update this list in the Congress document.
6. Other issues related to the election and nomination of members of the BOD shall comply with the provisions of the Charter and relevant law provisions.

**V. DISSCUSSION AT THE MEETING:**

1. Discussion will be conducted within the prescribed time and within the scope of issues presented in the agenda of GSM;
2. The shareholders have to register the contents questionnaires and transfer them to the Secretary of the Meeting;

3. The secretary will arrange the questionnaires of shareholders according to the order of registration and transfer them to the Chairman of the Meeting.
4. Based on the questionnaire of the shareholders, the Chairman or members appointed by the Chairman will answer questions of shareholders.

**VI. RESPONSIBILITIES OF THE CHAIRMAN:**

1. Regulate the Meeting in accordance with the agenda and this Regulations approved by the Meeting.
2. Instruct the Meeting to discuss and comment on the issues within the content of the meeting agenda and related issues during the GMS.
3. Resolve problems arising during the GMS.

**VII. RESPONSIBILITIES OF THE SECRETARY:**

1. To fully and truthfully record the entire contents of the meeting and the issues adopted by shareholders or not at the Meeting in the minutes of the Meeting Minutes;
2. Drafting Resolutions on issues approved at the Meeting.

**VIII. RESPONSIBILITIES OF VOTING COMMITTEE:**

1. Determine exactly the voting results of shareholders on issues approved at the Meeting;
2. Quickly inform the Secretary about the voting results;
3. Review and report to the GMS in case of violation of the voting rules or the letter of complaint about voting results.

**IX. THE MINUTES AND RESOLUTION OF GMS:**

The minutes and the Resolution of the 2020 AGM must be read and approved before the meeting closing.

**For and on behalf of the BOD**

**Lee Jun Hyuck**



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No: /2020/BC-HĐQT

Hanoi, April 29, 2020

## REPORT OF BOARD OF DIRECTORS

### AT THE 2020 ANNUAL GENERAL MEETING OF SHAREHOLDERS

#### To: The General Meeting of Shareholders

The Board of Directors (“**BOD**”) of Pinetree Securities Corporation (“**Pinetree**”) would like to report to the 2020 Annual General Meeting of Shareholders (2020 AGM) about activities of the BOD at 20219 and plan for the year 2020, as follows:

#### 1. Operation situation of the Company in 2019

2019 is a year of great changes in organizational structure, brand name, head office location, executive personnel and development orientation of Pinetree. With the efforts of the entire staff, especially the determination of the Board of Directors, Pinetree has basically completed all necessary legal changes to create favorable and stable conditions. in the next stage of development.

Regarding business activities, with the change in business orientation, 2019 is the first year in the development plan, Pinetree focuses on building a digital financial platform, providing online services and products for Customers, expanding more channels of investment for customers by synchronizing services, enhancing convenience and ease of using applications for customers.

Human resources of the Company also ensure the principle of neatness and quality in the long term. The personnel performing securities brokerage and financial advisory services all have a practicing certificate and have fully and properly reported and registered with the SSC.

#### 2. Activities of the Board of Directors

The list of members of BOD at 2019 until to time of the 2020 AGM of Company:

No	Members of BOD	Position
1	Mr Kim Dong Wook	Chairman
2	Mr Lee Jun Hyuck	Member
3	Mr Nguyen Huy Duong	Member

On above period, the Board of Directors has issued Resolutions and Decisions focusing on directing important issues related to the development of the Company's operational strategy, implementing business plans, finalizing regulations activities to strengthen the corporate governance

system.

In 2019, the BOD meetings include:

No	Date	Attendee	Content
1	26/02/2019	3/3	Choosing partners to buy more than 25% of HFT's charter capital from existing shareholders without having to make a tender offer
2	22/03/2019	3/3	Convene the 2019 annual general meeting of shareholders
3	15/05/2019	3/3	Electing the Chairman of the Board of Directors for the term 2019-2021
4	27/06/2019	3/3	Regarding the private placement of shares under the Resolution of the 2019 Annual General Meeting of Shareholders and the approval of the capital use plan
5	01/07/2019	3/3	Selection of auditing company in 2019
6	17/07/2019	3/3	The 1st Extraordinary General Meeting of Shareholders in 2019
7	08/08/2019	3/3	Approving a number of contents related to investment in information technology system development
8	26/08/2019	3/3	Approving the General Director dismissal Approving the appointment of General Director Approving the reappointment of Acting Chief Accountant
9	04/10/2019	3/3	Approving the dismissal of the Chief Accountant's right Approving the appointment of Chief Accountant Approving additional registration of business operations
10	25/10/2019	3/3	Approving Company name change Approving the company's headquarters Approving authorization to General Director

### 3. BOD's Supervision to the General Director ("GD")

In 2019, The BOD maintained close supervision over the GD based on following factors:

- Approved the periodical reporting mechanism: During the operation process, the GD has complied with the regime of monthly, quarterly and semi-annual and annual reports on business performance and financial situation. In addition, the supervision is also carried out through the instructions of the Company to review the activities regulations and processes to ensure compliance with legal regulations and transparent activities.
- Approved the instructions and resolutions of the BOD at the meetings of the BOD in 2019.

- Approved the inspection and supervision of the BOS: The BOD has actively cooperated with the BOS, under the supervision of the BOS to maintain the safety and improve the operational mechanism of the Company.
- In addition, the BOD also focused on risk management, simultaneously implementing the standardization of management processes and regulations; enhance inspection and supervision of the implementation of procedures and regulations in all activities of the Company. Activities of the BOD were also strengthened to promote the important role in monitoring the Company's activities, timely detecting and overcoming weaknesses, creating momentum for sustainable development, ensuring a harmonious balance. harmonize the interests of the Company, shareholders and partners as well as the rights of employees.
- At regular meetings, the BOD of the Company approved the quarterly income statement and discussed the next stage plan presented by the GD. Meetings are discussed democratically, publicly and responsibly.

The BOD assessed that the Company's activities were ensured safely, complied with the provisions of law, implemented in accordance with the Resolutions of the General Meeting of Shareholders and the BOD; The GD and key staff have correctly and fully performed the tasks and responsibilities within their powers.

#### **4. Operation plan of the BOD in 2020**

Based on the forecast of economic and financial conditions in 2020, the BOD agreed on the orientation of the Company's activities as follows:

- The company identified 2020 as a premise year for changing business strategies and development towards digital finance. Especially, the competition of other companies in the same industry is very big. The company's goal is to ensure stability, sustainable growth and to offer customers differentiated products in the spirit of simplicity, creativity and for customers. The company with a vision to 2020 will focus on improving the digital financial ecosystem with products for customers and pioneer in the market. Along with that, innovating, improving product quality, expanding market share of securities brokerage
- Continue to coordinate and support the GD in striving to achieve the growth of revenue and profit as planned in 2020.

**For the General Meeting of Shareholders consideration and approval.**

**FOR AND ON BEHALF OF BOD**

## **REPORT OF GENERAL DIRECTOR AT THE 2020 ANNUAL GENERAL MEETING OF SHAREHOLDERS**

### **To: The General Meeting of Shareholders**

The General Director (“GD”) of Pinetree Securities Corporation (“Pinetree”) reports to the 2020 Annual General Meeting of Shareholders about Company’s business results for the year 2019 and business plan for the year 2020, as follows:

#### **1. Evaluate business activities of the Company in 2019**

With the support from the parent company in terms of capital, brand, management and especially modern information technology system, Pinetree will build an integrated online financial services platform to become Leading securities company specializing in digital in Vietnam. In the period of 2020-2021, the Company will focus on building a synchronous digital platform, in order to offer fully integrated products to support customers in the investment process. This is an important stage, a premise for the sustainable development of the Company.

In 2019, Pinetree continues to focus on two main operations: Securities Brokerage and Corporate Financial Advisory. In addition, the Company promotes Customer Services, IB activities and adds Securities Proprietary business at the end of the year to support customers and expand the Company's business operations.

By the end of 2019, the company reached over VND 21.9 billion in operating revenue, an increase of 61.04% compared to 2018. The Company increased its charter capital from VND 100 billion to VND 615 billion to increase the ability to meet capital for investors, execute investments in upgrading IT systems, develop and expand the scale of business activities, diversify types of services and products in order to improve service quality to customer. However, with the expansion of operation scale and the lag from the impact of the Company's business policies, in 2019 the Company lost nearly 4.7 billion VND, still lower than the plan of 6.4 billion VND. , this is the effort of the whole Company in controlling costs.

#### **The business results in 2019**

<b>Indicators</b>	<b>Unit</b>	<b>Plan at 2019</b>	<b>2019</b>	<b>% of Plan</b>
Operating revenue	Dong	25,000,000,000	21,905,099,462	87.62%
Profit after tax	Dong	-6,400,000,000	-4,651,252,636	72.68%

## Securities Brokerage

With the goal of creating favorable conditions for investors, attracting more new investors in the hope of increasing the demand for the market and understanding the demand for securities investment as well as the potential of the market, Pinetree has been building a platform based on the application of high technology, the best quality, the lowest transaction fees, the lowest loan interest rates to provide customers with utilities from the beginning of market research. until the real investment in the market. Investors are created the best conditions when investing in Vietnam stock market.

In 2019, the Company has developed and launched the Stock123 application for beginners with the desire to provide an interesting and effective learning and operating environment, in order to create best environments for new investors, understand the market, apply the market knowledge before participating in the real stock market. In addition, the Company also applies a new fee policy, with reasonable transaction fees that help Investors, especially new Investors, have many opportunities to participate in the stock market.

Along with building a digital platform, the Company also launched many product packages with attractive monthly incentive programs to bring maximum support and benefit to investors, as well as programs. Attract customers to develop operations.

## Investment banking and Proprietary trading

Along with the investment and development of digital platform to assist investors, the Company has built appropriate resource policies to attract talented employees for the Company's new activities such as investment banking and proprietary trading. In addition to expanding the platform to facilitate customers in the transaction process, the Company aims to find, provide and introduce investment opportunities, to diversify investment products for customers. This will be the operational objective of the Investment and Proprietary Banking Division of the Company, with the motto of evolving along our customers to financial freedom and asset development.

### The revenue structure in the period 2018-2019

*Unit: Million dong*

Revenue	2019		2018		2017	
	Value	%R	Value	%R	Value	%R
Brokerage	6,841.18	31.2%	8,471.65	62.3%	5,585.74	67.1%
Advisory	100.00	0.5%	60.36	0.4%	67.62	0.8%
Revenue from support services (Deposits, Interest from loans and receivables)	4,098.93	18.7%	2,357.12	17.3%	468.30	5.6%
Other revenue (Gains from financial assets, interest from HTMs, interest from AFS ... and other income)	10,864.99	49.6%	2,712.84	19.9%	2,197.12	26.4%
<b>TOTAL</b>	<b>21,905.10</b>	<b>100.0%</b>	<b>13,601.97</b>	<b>100.00%</b>	<b>8,318.78</b>	<b>100.00%</b>

## Some indicators of the financial situation of the Company

Indicators	Unit	2018	2019	% increase /decrease
Total assets	Dong	88,418,277,837	600,556,476,404	579.22%
Current assets	Dong	76,030,760,427	569,191,146,573	648.63%
Non-current assets	Dong	12,387,517,410	31,365,329,831	153.20%
Liabilities	Dong	953,916,405	2,757,501,622	189.07%
Tax and other receivables from government budget	Dong	336,677,435	1,005,275,215	198.59%
Owner's equity	Dong	87,464,361,432	597,798,974,782	583.48%
Operating revenue	Dong	13,601,973,425	21,905,099,462	61.04%
Profit before tax	Dong	5,146,104,740	-4,741,811,631	
Profit after tax	Dong	5,112,598,897	-4,651,252,636	

## Financial situation

Regarding the financial situation, the Company continues to maintain stability and ensure financial safety to build a solid foundation for the Company in the business process.

### The detail receivables for the period 2018-2019

Indicators	Unit	2018	2019	% increase /decrease
Loans	Dong	24,366,385,337	67,091,177,939	175.34%
<i>Margin</i>	Dong	19,492,189,337	45,976,732,939	135.87%
<i>Advance</i>	Dong	4,874,196,000	21,114,445,000	333.19%
Receivables and accruals from dividend of financial assets	Dong	244,347,841	4,302,995,555	1661.01%
Receivables from services provided by the Company	Dong	69,867,879	154,051,094	120.49%
Other receivables	Dong	2,875,736	1,463,319,900	
<b>TOTAL</b>	<b>Dong</b>	<b>24,683,476,793</b>	<b>73,011,544,488</b>	<b>195.79%</b>

## 2. Evaluation of other activities of the Company in 2019

**About IT:** With the orientation of developing a digital financial platform, providing customers with online services, easy and convenient to use and meet a variety of investment needs, the Company has invested in upgrading the system. hardware to enhance the ability to meet current and future needs, as well as ensure the safety of digital operations. Besides, the Company has also invested in rebuilding the software system to synchronize all activities and products to create convenience for customers during the transaction. With the motto, people are the most important element in the development of the digital financial ecosystem, the Company has implemented

policies to attract talented personnel in the field of technology development, in order to provide opportunities to promote the improvement of the digital financial ecosystem.

**About risk control activities:** In addition to the daily work in the process of risk control of operations, Pinetree has completed relevant business processes with existing processes and regulations to meet the risk management needs to ensure safety in the Company's operations and still meet the requirements of development. The company continues to organize a number of professional training for the brokerage and customer care department. Business processes continue to be updated to suit the actual situation and legal requirements. The BOM of Pinetree determines that internal compliance control is an important source of information to help the Board of Directors and shareholders understand the company's operations and have appropriate policies and strategies.

### **3. The business plan for 2020**

2020 will be a difficult year for the economy, for stock market particularly, especially the impact and negative impacts of the global epidemic. Recognizing challenges and opportunities in the current period, the main task of the Company in 2020 is to complete the complete ecosystem for customer investment activities at the Company in the direction of digital finance. from the operation, internal information exchange system to the system of providing products and services to customers in order to create motivation for the Company's development in the coming period.

So, in 2020, the Company sets the following business targets:

<b>Indicators</b>	<b>Unit</b>	<b>2020 Plan</b>
Operating revenues	Dong	
Profit after tax	Dong	

**For the General Meeting of Shareholders consideration and approval.**

**GENERAL DIRECTOR**

**Lee Jun Hyuck**

## **REPORT OF BOARD OF SUPERVISORY AT THE 2020 ANNUAL GENERAL MEETING OF SHAREHOLDERS**

### **To: The General Meeting of Shareholders**

The Board of Supervisory (“BOS”) of Pinetree Securities Corporation (“Pinetree”) would like to submit to the 2020 Annual General Meeting of Shareholders (2020 AGM) about activities at 2019 and plan for the 2020, as follow:

#### **1. Activities of the BOS in 2019**

##### **1.1. Structure of the BOS**

The members of BOS at 31/12/2019 as follow:

- Mrs Chu Thi Lua – Chairman
- Mr Lee Jun Hyeob – Member
- Mr Kim Ji Yoon – Member

##### **1.2. Operation of the BOS in 2019**

Based on the provisions of the Company's Charter on the role of the BOS, in 2019, the BOS has supervised activities for the Company as follows:

- Monitoring the operation and compliance with the provisions of law and the Charter of the Company for the Board of Directors, General Director, Team leader in the management and administration of the Company.
- Participate in meetings of the Board of Directors and a number of meetings of the Pinetree lteam Leader on annual business plan and report on the implementation of the annual plan.
- Regularly control the operation of capital use of Pinetree, track asset rotation of Pinetree.
- Supervise the financial statements quarterly, six months and annual reports, in order to assess the reasonableness and accuracy of financial data before submitting to the Board of Directors.
- Proposing the selection of an Independent Auditing Company (an Auditing Company included in the list of Auditing Companies approved by SSC to issue issuing organizations, listing organizations and securities trading organizations. in 2019 and has experience and reputation in auditing for listed companies.



- Control the compliance with the internal spending regime at the Company.
- Other jobs as decided by the Board of Directors, the provisions of the Company Charter.
- In 2019, the Supervisory Board were provided with sufficient information on the decisions of the Board of Directors and General Director; Team Leader; and at the same time received support and created favorable conditions for their inspection and supervision. The Board of Directors and the Supervisory Board always exchanged information on issues related to the direction of PTSV, closely following the assigned tasks.

## **2. The result of supervising activities of BOS 2019**

The BOD, General Director and Team leader have complied with the provisions of the law in administrative management. The members of the BOD, General Director and Team leader and the management staff have implemented the functions and tasks assigned in accordance with the Enterprise Law, the Company Charter and the Board of Directors' Resolution.

### **2.1. Evaluation of the management of BOD**

- Successfully organized the 2019 Annual and Extraordinary General Meeting of Shareholders.
- The Board of Directors has conducted meetings according to regulations to implement the resolutions of the General Meeting of Shareholders, in accordance with the operation situation of the Company. Meetings are in compliance with regulations and regulations of the Company. The Board of Supervisors has also been provided with full documents, resolutions and minutes of meetings of the Board of Directors.
- The members of the Board of Directors fully participate, work responsibly, comply with the law and put the Company's interests first in order to make accurate and timely decisions for Company business operation.
- Resolutions and Decisions of the Board of Directors comply with the company's charter and relevant legal provisions.
- The Board of Directors has also made periodic and extraordinary disclosure of information in accordance with the regulations of the State Securities Commission and the Law.

Through the supervision process, the BOS did not see anything unusual in the management and administration activities of the Board of Directors.

### **2.2. Evaluation of the executive work of the General Director**

- The General Director has made great efforts in implementing resolutions and decisions of the General Meeting of Shareholders and the Board of Directors. In the process of management, the General Director has reported on the operation situation in the month and the plan and expected orientation of the following month to the Board of Directors.
- Provide sufficient documents for the BOS to serve the inspection and supervision.

Through the supervision process, the BOS did not see anything unusual in the activities of the General Director.

**3. Operation plan of the BOS in 2020**

- Continue to maintain efficiency within the functions of the BOS.
- Constantly strengthening the coordination between the BOS, BOD, General Director and Team leader to enhance the effectiveness of management, operation of Company.
- Strengthen the supervision of the operation of the Board of Directors, the General Director and Team leader within the scope of functions and recommend measures in the organization, management and administration of business activities of the Company.

**For the General Meeting of Shareholders consideration and approval.**

**FOR AND ON BEHALF OF BOS**

## **PROPOSALS**

*(Subject: Approval on the audited financial statements of 2019; appointment of the independent audit firm for the fiscal year 2020; Remuneration for the BOD, BOS for the year 2020; Amendments and supplementations to the charter and the regulation on internal governance of Pinetree Securities Corporation)*

### **To: The General Meeting of Shareholders**

The Board of Directors (“**BOD**”) of Pinetree Securities Corporation (“**Pinetree**”) hereby submit to the 2020 Annual General Meeting of Shareholders (“**2020 AGM**”/“**GMS**”) for approval of some contents as follows:

#### **I. Approval on the audited Financial Statements 2019:**

The BOD would like submits to the 2020 AGM for approval ont the audited Financial Statements 2019 adited by AASC Auditing Firm Company Limited and have been disclosed in accordance with laws and regulations, and posted on the Company’s website at ([www.pinetree.vn](http://www.pinetree.vn)), including:

- Independent auditor’s report (opinion of auditor according to independent auditor’s report No 240320.004/BCTC.KT7: “In our opinion, the financial statement give a true and fair view, in all material respects, of the financial position of the HFT Securities Corporation as at 31 December 2019, and of the results of its operations, its changes in cash flow and owner’s equity for the year ended in accordance with Vietnamese Accounting Standards, Vietnamese Enterprise Accounting System, accounting guidance applicable to securities company and statutory requirements relevant to preparation and presentation of financial statements”.
- Statement of financial position as at 31/12/2019
- Income statement for the year 2019.
- Cash flow statement fo the year ended 31/12/2019
- Statement of changes in owner’s equity for the year ended 31/12/2019.
- Notes to the financial statements.

In which:

1. Key terms provided in the Statement of financial position as at 31/12/2019 of audited Financial Statements 2019:

<b>No</b>	<b>Items</b>	<b>Value (Dong)</b>
<b>I</b>	<b>Total assets</b>	<b>600,556,476,404</b>
1	Current assets	569,191,146,573

	Financial assets	567,262,391,396
	Other current assets	1,928,755,177
2	Non-current assets	31,365,329,831
	Long-term financial assets	0
	Fixed assets	22,632,759,939
	Other long-term assets	8,732,569,892
<b>II</b>	<b>Total Liabilities and Owner's Equity</b>	<b>600,556,476,404</b>
1	Liabilities	2,757,501,622
2	Owner's Equity	597,798,974,782

2. Key terms provided in the Income statement for the year 2019 of audited Financial Statements 2019:

No	Items	Value (dong)
1	Operating revenue	21,905,099,462
2	Operating expenses	13,254,833,868
3	Finance income	549,232,554
4	Finance expense	64,586,147
5	General and administrative expense	13,737,322,970
6	Operating profit	-4,602,410,969
7	Other income and other expense	226,802,579
8	Profit before tax	-4,741,811,631
9	CIT expense	-90,558,995
10	Profit after tax	-4,651,252,636

## **II. Approval on appointment of the independent audit firm for the fiscal year 2020:**

The BOD would like to propose that the 2020 AGM for approval to authorize the Legal Representative to select, negotiate, sign contracts/agreement with one of the independent audit firms for the interim and annual statutory audit of its financial statements in accordance with the law regulations in 2020. The selected auditor firms must be approved by the State Securities Commission of Vietnam to provide the statutory auditing services to listed companies and securities companies in Vietnam in 2020.

### **III. Approval on remuneration for the BOD, BOS for the year 2020**

The BOD submit to the 2020 AGM for approval on the remuneration for the members of the BOD, BOS for the year 2020, as follows:

- Members of BOD : 0 dong/month
- Members of BOS : 0 dong/month

### **IV. Approval on the Amendments and supplementations to the Charter and the Regulation on internal governance of Pinetree Securities Corporation**

The BOD would like submits to the 2020 AGM to approve on amendments and supplementations to the charter and the Regulations on internal governance of Pinetree Securities Corporation. The full version of the amended and supplemented Charter and Regulations is attached to this proposal.

**For the General Meeting of Shareholders consideration and approval.**

**FOR AND ON BEHALF OF BOD**

## **PROPOSAL**

*(Subject: Plan of increasing charter capital)*

### **To: The General Meeting of Shareholders**

The Board of Directors (“**BOD**”) of Pinetree Securities Corporation (“**Pinetree**”) hereby submit to the 2020 Annual General Meeting of Shareholders (“**2020 AGM**”/“**GMS**”) for approval of Plan of increasing charter capital and adding the new business, as follow:

- 1. Purpose of increasing charter capital:** (i) Improve the ability to operate according to the needs of the Company, improve the competitiveness with other securities companies; (ii) Meet the capital conditions to expand other business in the field of securities; (iii) Expanding market share, improving revenue; and (iv) Meet the goal of sustainable growth, stability and long-term.
- 2. Capital using purpose:** Capital will be used to supplement working capital and investment capital for the Company.
- 3. Amount of increasing charter capital:** Authorizing the BOD to decide on the amount of increasing charter capital.
- 4. Increasing charter capital plan:** Pintree will implement increasing charter capital through private offering method, as follow:
  - Offering share type: common shares.
  - Par value: VND 10.000 /share.
  - Offering price: VND 10.000 /share.
  - Amount of offering shares: Authorizing the BOD to decide the number of shares of this private offering and for each investor participating in the issuance according to the List of expected investors attending the private offering of Company enclosed with this proposal.
  - Timing: From quarter II/2020 to quarter IV/2020.
  - Method of issuance: Private offering for less than 100 investors.
  - Issuing objects: Strategic investors.
  - Criteria for selecting strategic investors: Strategic investors are domestic investors and foreign investors (current shareholders can participate) with financial capacity and commitment in cooperating and supporting enterprises in: training human resources; improve financial capacity; corporate management...The list of expected investor attending the private offering of Company is attached with this proposal.
  - Transfer restriction: The total number of shares offered to succeed in this private offering will be limited transfer of 01 (one) year from the finish date of the private offering in

accordance with the law.

**5. Authorizing to the BOD execute contents as follow:**

- To decide on timing to issue suitable with the actual business situation of the Company to implement procedures related to the increase of charter capital.
- To decide the number of shares of this private offering.
- To implement issuance plans; and develop, approve detailed capital use plans in accordance with current regulations.
- To determine and decide the number of shares to be offered to investors according to the list of investors participating in the attached with this proposal.
- To implement of all procedures for approval on increasing charter capital dossier to comply with the laws and requirements from competent authorities.
- To implement all procedures for any amendments, supplements of the operation licences related new charter capital of Company after issuing.
- To amend, update the Charter and/or assign the legal representative to amend and update the Company's charter about changing charter capital (and related information) after receiving the revised license and/or other related revised legal document from State Securities Commission or competent authorities.
- To decide other contents related to increasing charter capital according to the laws and requirements from competent authorities. The BOD has right to authorize to the Legal Representative for carrying out this increase capital plan.

For the General Meeting of Shareholders consideration and approval.

**FOR AND ON BEHALF OF BOD**

**THE LIST OF EXPECTED INVESTOR ATTENDING THE PRIVATE OFFERING**

*(Attached with Proposal of BOD No ...../2020/NQ-HDQT dated 29/04/2020)*

<b>No</b>	<b>Investor's name</b>	<b>ID/Passport/Business Registration</b>	<b>Date of issue</b>	<b>Place of issue</b>	<b>Address</b>	<b>Relationship between investor with members of BOD, BOS, BOM and Chief accountant</b>
1	Hanwha Investment and Securities Co., Ltd	110111-0003155	19/07/1962	Seoul Central District Court	56 Yeoui-daero, Yeongdeungpo-gu, Seoul, Korea	Members of BOS is employees of Investor



**PINETREE SECURITIES  
CORPORATION**

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No: /2020/TT-HĐQT

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Hanoi, April 29, 2020

## **PROPOSAL**

*(Subject: Dismissal and additional election of members of BOD for the term of 2019-2021)*

### **To: The General Meeting of Shareholders**

The Board of Directors (“**BOD**”) of Pinetree Securities Corporation (“**Pinetree**”) hereby submit to the 2020 Annual General Meeting of Shareholders (“**2020 AGM**”/“**GMS**”) to approve on dismissal and additional election of members of Board of Directors for the term of 2019-2021, as follow:

**1. Approving the dismissal of member of the BOD as follow:**

- Full name: KIM DONG WOOK      Gender: Male
- Date of birth: 14/10/1967      Nationality: Republic of Korea
- ID/Passport: M25637108
- Dismissal reason: According to the resignation letter

**2. Approving the additional election of 01 (one) member of the BOD at the Annual General Meeting of Shareholders in 2020.**

**3. Approving the List of nominees/candidates for electing the additional member of the BOD (according to the document of the 2020 AGM).**

**For the General Meeting of Shareholders consideration and approval.**

**FOR AND ON BEHALF OF BOD**

**THE LIST OF PROPOSED NOMINEES  
FOR MEMBERS OF BOARD OF DIRECTORS  
PINETREE SECURITIES CORPORATION**

The Organizing Committee of the 2020 Annual General Meeting of Shareholders (“**2020 AGM**”) received applications and nominations sheet for candidates to vote on the Board of Directors (“**BOD**”) for the term of 2019-2021. The Organizing Committee would like to inform to the Shareholders as follows:

Mr. YOO CHANG MIN                      Gender: Male

- Date of birth: 12/03/1971                      Nationality: Republic of Korea
- ID/Passport: M12557644
- Nominator: Hanwha Investment and Securities Co., Ltd, is shareholder hold 60,505,000 shares, equivalent to 98.38% of the total voting shares of the Company for at least six (06) consecutive months.

Based on the Pinetree’s Charrter, the Regulation on Organization, Vote and Election for the 2020 AGM, these candidates satisfied condition, qualification to become additional members of the BOD for the term of 2019-2021.

**ON BEHALF OF THE ORGANIZING COMMITTEE**

**CURICULUM VITAE**  
**THE LIST OF PROPOSED NOMINEES**  
**FOR MEMBERS OF BOARD OF DIRECTORS**  
**PINETREE SECURITIES CORPORATION**

No	Full name	Date of birth	Education	Positions in others companies (if any)	Employment history	Number of shares in possession for self or representative	Percentage rate in possession for self or representative	Shareholder/group of shareholders nominate
1	YOO CHANG MIN	12/03/1971	Master	Head of Global Digital Products Office, Hanwha Investment and Securities Co., Ltd	<ul style="list-style-type: none"> <li>- 12/2017 – now: Hanwha Investment and Securities Co., Ltd. - Head of Global Digital Products Office</li> <li>- 06/2013 – 12/2017: Hanwha Investment and Securities Co., Ltd. – Trading – Team Leader</li> <li>- 06/2000 – 06/2013: Hanwha Investment and Securities Co., Ltd. – Investment Banking – General Manager</li> </ul>	0	0	Shareholders hold 98,38%

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NOMINATION SHEET

NOMINATION OF MEMBERS OF THE BOARD OF DIRECTORS

PINETREE SECURITIES CORPORATION

**To:** THE 2020 AGM ORGANIZATION COMMITTEE

PINETREE SECURITIES CORPORATION

Name of Nominator: .....  
ID/Passport/License No: ..... Date of Issuance: ..... Place of Issuance: .....  
Address: .....  
Legal representative (*for organization*): .....  
Number of shares owned: ..... shares (*In words:*..... )  
Corresponding to the total par value (*dong*):.....

**Hereby nominate:**

Name of Nominee: .....  
ID/Passport: ..... Date of Issuance: ..... Place of Issuance: .....  
Address: .....  
Education level: ..... Major:.....

As candidate for member of the Board of Directors at the 2020 Annual General Meeting of Shareholders.

I/we hereby consent to my nomination for the election and am/are willingness to comply with existing regulations of the law and the Company Charter.

Best regards!

....., April , 2020

**Nominee**  
(Signature, full name)

**Nominator**  
(Signature, full name and stamed (if any))

**Attachments:**

- Curriculum vitae of Nominee;
- Copy of ID card (or passport) and diplomas and certificates of Nominee;



Education level:.....Major: .....

Number of shares owned:..... shares (In words: .....)

Corresponding to the total par value (dong): .....

as a group representative to implement the nomination procedures in accordance with the Regulations on Organization, Voting and Election at the 2020 Annual General Meeting of Shareholders.

I/we hereby consent to my nomination for the election and are willing to comply with existing regulations of the law and the Company Charter.

This minute made at .. :... on ...../...../2020.

**Nominee**  
*(Signature, full name)*

**Representative of Nominator**  
*(Signature, full name)*

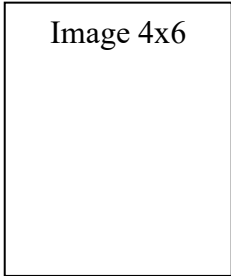
**Attachments:**

- Curriculum vitae of Nominee;
- Copy of ID card (or passport) and diplomas and certificates of Nominee;

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**CIRRICULLUM VITAE**

To: - Pinetree Securities Corporation;  
- The General Meeting of Shareholders;



- 1) Full name:..... Gender: ....
- 2) Date of birth:.....
- 3) Place of birth:
- 4) Nationality:
- 5) ID card No. (or Passport No.): ..... Date of issue:..... Place of issue.....  
valid until ..... (for passports).
- 6) Permanent residence:
- 7) Current address:.....
- 8) Tel:
- 9) Email:
- 10) Education:.....
- 11) Job:
- 12) Name and address of institution what you represent, the percentage of shares represented (*in case of being the representative of institution shareholders*).
- 13) Number of shares in possession..... , accounting for ...% of registered capital, of which:  
+ Possess on behalf of (State/strategic investor/other institution):  
+ Possess for own account:
- 14) Other commitment of holding shares (if any):
- 15) Education history:

Period	School	Major	Degree

**PHỤ LỤC SỐ 03  
APPENDIX 03**

**BẢN CUNG CẤP THÔNG TIN  
CIRRICULLUM VITAE**

*(Ban hành kèm theo Thông tư số 155/2015/TT-BTC ngày 06 tháng 10 năm 2015 của Bộ Tài chính hướng dẫn công bố thông tin trên thị trường chứng khoán)  
(Promulgated with the Circular No 155/2015/TT-BTC on October 06, 2015 of the Ministry of Finance guiding the Information disclosure on securities markets)*

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM  
Độc lập - Tự do - Hạnh phúc  
THE SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

Hà Nội, ngày ... tháng ... năm 2020  
....., day .... month .... year .....

**BẢN CUNG CẤP THÔNG TIN/CIRRICULLUM VITAE**

Kính gửi: - Ủy ban Chứng khoán Nhà nước  
- Sở Giao dịch chứng khoán Hà Nội  
To: - The State Securities Commission  
- Hanoi Stock Exchange

- 1/ Họ và tên /Full name: ...
- 2/ Giới tính/Sex:...
- 3/ Ngày tháng năm sinh/Date of birth: ...
- 4/ Nơi sinh/Place of birth: ...
- 5/ Số CMND (hoặc số hộ chiếu)/ID card No. (or Passport No.): ....Ngày cấp/Date of issue ... Nơi cấp/Place of issue ....
- 6/ Quốc tịch/Nationality: ...
- 7/ Dân tộc/Ethnic: ...
- 8/ Địa chỉ thường trú/Permanent residence: ...
- 9/ Số điện thoại/Telephone number: ...
- 10/ Địa chỉ email/Email: ...
- 11/ Chức vụ hiện nay tại tổ chức là đối tượng công bố thông tin/Current position in an institution subject to information disclosure Rules: ...
- 12/ Các chức vụ hiện đang nắm giữ tại tổ chức khác/Positions in others companies: ...
- 13/ Số CP nắm giữ: ... cổ phần, chiếm ...% vốn điều lệ, trong đó:/Number of shares in possession ... stock , accounting for ...% of registered capital, of which:
  - + Đại diện (tên tổ chức là Nhà nước/cổ đông chiến lược/tổ chức khác) sở hữu:/Possess on behalf of (State/strategic investor/other institution): .... cổ phần.
  - + Cá nhân sở hữu/ Possess for own account: ... cổ phần



14/ Các cam kết nắm giữ (nếu có)/*Other commitment of holding shares (if any): Không có*

15/ Danh sách người có liên quan của người khai/*List of related persons of declarant:*

STT No.	Tên cá nhân/tổ chức/ <i>Name of individual/institution al related person</i>	Số CMND/Hộ chiếu (đối với cá nhân) hoặc Số GCN đăng ký doanh nghiệp, Giấy phép hoạt động hoặc giấy tờ pháp lý tương đương (đối với tổ chức), ngày cấp, nơi cấp/ <i>Number of ID or Passport (for individual) or Number of Business Registration, License of Operation or equivalent legal documents (for institution), date of issue, place of issue</i>	Số lượng CP/CCQ nắm giữ, tỷ lệ sở hữu trên vốn điều lệ của công ty đại chúng, quỹ đại chúng (nếu có)/ <i>Number of shares/fund certificates in possession, ownership rate of registered capital of the public company, public fund (if any)</i>	Mối quan hệ/ <i>Relationship</i>
1				
2				
3				
4				
5				

16/ Lợi ích liên quan đối với công ty đại chúng, quỹ đại chúng (nếu có)/*Related interest with public company, public fund (if any): Không có*

17/ Quyền lợi mâu thuẫn với công ty đại chúng, quỹ đại chúng (nếu có)/ *Conflict interest with public company, public fund (if any): Không có*

Tôi cam đoan những lời khai trên đây là đúng sự thật, nếu sai tôi xin hoàn toàn chịu trách nhiệm trước pháp luật/*I hereby certify that the information provided in this CV is true and correct and I will bear the full responsibility to the law.*

**NGƯỜI KHAI /  
DECLARANT**  
(Ký, ghi rõ họ tên)  
(Signature, full name)

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\* Người có liên quan theo quy định tại khoản 34 Điều 6 Luật Chứng khoán ngày 29 tháng 6 năm 2006 và khoản 17 Điều 4 Luật doanh nghiệp  
Related persons are stipulated in Article 34, paragraph 34 of the Law on Securities dated 29<sup>th</sup> June 2006 and article 4, paragraph 17 of the Enterprise Law.

Hanoi, April 29<sup>th</sup>, 2020

No: /2020/NQ-ĐHĐCĐ

DRAFT

## RESOLUTION

### 2020 THE ANNUAL GENERAL MEETING OF SHAREHOLDERS

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#### THE 2020 ANNUAL GENERAL MEETING OF SHAREHOLDERS PINETREE SECURITIES CORPORATION

- Pursuant to the Law on Enterprise No 68/2014/QH13 as at 26/11/2014;
- Pursuant to the Securities Law No 70/2006/QH11 as at 29/06/2006 and amendments and supplementations Securities Law as at 24/11/2010;
- Pursuant to charter of Pinetree Securities Corporation;
- Pursuant to the minutes of Annual General Meeting of Shareholders dated 29/04/2020 of Pinetree Securities Corporation.

## RESOLUTION

**Article 1. Approval on the report of the Board of Directors (“BOD”) attached with this Resolution.**

**Article 2. Approval on the report of the General Director (“GD”) attached with this Resolution.**

**Article 3. Approval on the report of the Board of Supervisor (“BOS”) attached with this Resolution.**

**Article 4. Approval on the Proposal of the BOD about some necessary issues of Company:**

The General Meeting of Shareholders approved the Proposal of the BOD, as follows:

- a. Approval on 2019 audited financial statements
- b. Approval on appointment of the independent audit firm for the fiscal year 2020
- c. Approval on remuneration for the BOD, BOS for the year 2020
- d. Approval on amendments and supplementations to the charter and the regulation on internal governance.

The full version of this Proposal and related attached file of Board of Directors is approved by the General Meeting of Shareholders and attached with this Resolution.

**Article 5. Approval on the Proposal of the BOD about increasing capital plan**

The General Meeting of Shareholders approved on the Proposal of the BOD about increasing capital plan attached with this Resolution.

**Article 6. Approval on the Proposal of the BOD about dismissal and additional election of members of BOD for the term of 2019-2021**

The General Meeting of Shareholders approved on the Proposal of the BOD about dismissal and additional election of members of BOD for the term of 2019-2021 attached with this Resolution.

**Article 7. Approval on dismissal of 01 (one) member of BOD and election one (01) additional member of BOD for the term of 2019-2021, as follow:**

- a. The dismissed member of BOD:
  - Full name:    Gender:
  - Date of birth:    Nationality:
  - ID/Passport:
- b. The elected additional member of BOD:
  - Full name:    Gender:
  - Date of birth:    Nationality:
  - ID/Passport:

**Article 8. Enforcement**

This resolution shall take effect on the issued date. General Director, all department and employees have responsible for the implementation of this Resolution.

**Nơi nhận:**

- Shareholders;
- SSC, HNX, Website (to disclosure);
- BOD, BOS, GD;
- Departments of Company;
- Office for record.

**For an on behalf of the General Meeting of Shareholders  
CHAIRMAN OF MEETING**

...